

Logics of Belief based on Logics of Information

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- A prototypical agent — a scientist (cf. scientific or rational scepticism),
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- A prototypical agent — a scientist (cf. scientific or rational scepticism),
- working with collections of data — those might be **incomplete** and **inconsistent**.
- The agent (e.g. by weighting the available evidence) eventually accepts some *available data* as beliefs,
- but only **confirmed data** might be accepted (certified belief).

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Logical formalism

- A background propositional logic to model collections of data—information states — (containing a reasonable negation),
- collections of data (information states or evidence states) are modeled as theories.
- Agents allow for some information states to act as **reliable sources of confirmation** for a given state.
- Modal part consists of an epistemic **diamond** operator of **confirmed belief**.

Examples: substructural epistemic logics (over dFLe)

Language

$$\alpha ::= p \mid t \mid \alpha \otimes \alpha \mid \alpha \rightarrow \alpha \mid \top \mid \perp \mid \alpha \vee \alpha \mid \alpha \wedge \alpha \mid \neg \alpha \mid \langle k \rangle \alpha \mid \langle b \rangle \alpha$$

interpreted over frames $F = (X, \leq, R, L, C, S^k, S^b)$ as (formulas are interpreted by **upsets**):

- $x \Vdash \neg \alpha$ iff $\forall y (xCy \longrightarrow y \not\Vdash \alpha)$
- $x \Vdash \langle k \rangle \alpha$ iff $\exists s (sS^k x \wedge s \Vdash \alpha)$
- $x \Vdash \langle b \rangle \alpha$ iff $\exists s (sS^b x \wedge s \Vdash \alpha)$

We read $sS^k x$ as s is a reliable source confirming knowledge in x . Similarly for belief.

Properties of the source relations

- Sources for belief are mutually compatible (do not contradict each other). Sources of knowledge are compatible with the current state.
- Sources are self-compatible (therefore consistent).
- $S^k \subseteq \leq$ implies that if α is known, it is satisfied in the current state.
- $S^k \subseteq S^b$: knowledge implies belief

Beliefs are mutually consistent. Knowledge is consistent with the current information state, and knowledge (due to persistency of formulas) is factive.

Axioms and corresponding classes of frames

$\langle k \rangle$ and $\langle b \rangle$ are **monotone normal diamond** modalities. Moreover we may consider (some of) the following axioms:

Axiom or rule	condition
$\langle k \rangle \alpha \rightarrow \alpha$ $\langle k \rangle \alpha \rightarrow \langle b \rangle \alpha$ $\langle b \rangle \alpha \wedge \langle b \rangle \neg \alpha \rightarrow \perp$ $\langle b \rangle (\alpha \wedge \neg \alpha) \rightarrow \perp$ $\langle k \rangle \alpha \wedge \neg \alpha \rightarrow \perp$	$sS^k x \rightarrow s \leq x$ $sS^k x \rightarrow sS^b x$ $sS^b x \wedge s'S^b x \rightarrow sCs'$ $sS^b x \rightarrow sCs$ $sS^k x \rightarrow sCx$
$\langle k \rangle \alpha \rightarrow \langle k \rangle \langle k \rangle \alpha$ $\langle b \rangle \alpha \rightarrow \langle b \rangle \langle b \rangle \alpha$ $\langle b \rangle \alpha \rightarrow \langle b \rangle \langle k \rangle \alpha$ $\langle k \rangle \alpha \wedge \langle k \rangle \beta \rightarrow \langle k \rangle (\alpha \wedge \beta)$ $\vdash \alpha / \vdash \langle k \rangle \alpha$	$sS^k x \rightarrow \exists s' (sS^k s' S^k x)$ $sS^b x \rightarrow \exists s' (sS^b s' S^b x)$ $sS^b x \rightarrow \exists s' (sS^k s' S^b x)$ $sS^k x \wedge tS^k x \rightarrow \exists v (vS^k x \wedge s, t \leq v)$ $(\forall x \in L)(\exists s \in L) sS^k x$

Examples: Relevant epistemic logic

Frames for relevant logic in style of Restall's book on substructural logic, the source relation satisfying:

- $sSx \longrightarrow s \leq x$
- $sSx \longrightarrow sCx$

M. Bílková, O. Majer, M. Peliš and G. Restall. **Relevant agents**. AiML 2010.
T. Childers, O. Majer and P. Milne. **The relevant logic of scientific discovery**. In progress.

Examples: Intuitionistic epistemic logic

- (i) From the standard semantics of intuitionistic logic: for a poset $(X, \leq)$, put $L = X$, let S^k to be any monotone relation satisfying $S^k \subseteq \leq$, and define the remaining relations as follows:

$$Rxyz \quad \text{iff} \quad x \leq z \text{ and } y \leq z$$

$$Cxy \quad \text{iff} \quad \exists z(x \leq z \text{ and } y \leq z)$$

The modality is not trivial ($\alpha \not\vdash \langle k \rangle \alpha$), and neither it commutes with the conjunction nor distributes to the implication.

Examples: Intuitionistic epistemic logic

- (ii) Consider $(X, \leq)$ to be a rooted tree with the root r . Put $rS^k x$ for all $x \in X$ (the root r is a universal source).
 In this class of frames, $\langle k \rangle$ commutes with conjunction, distributes to implication, positive introspection axiom becomes valid, as well as negative introspection axiom.

Results

- A concept of confirmed belief or knowledge can be modeled as a **diamond** modality over suitable semantics, e.g. relational semantics for substructural logics.
- Strong completeness, FMP via filtration.
- Structural (display) proof theory, cut elimination.
- Common knowledge and common belief, with infinitary, strongly complete, proof systems.

Problems and solutions

- Q: Why a **diamond modality**?
- A: We model **confirmed** belief and knowledge. Moreover, we can naturally arrive at such a modality from a **monotone neighbourhood** box modality.
- Q: Why a **normal** diamond? Knowledge distributing over **the disjunction** is counter-intuitive.
- A: Consider another semantics of disjunction, under which the information states are not necessarily closed; or, switch to neighborhood semantics.
- Q: What do we mean if we say that beliefs are **consistent**?
- A: Possibly different things (to avoid explosion, or to avoid various contradictions).

Semi-lattice frames

- Frames based on (distributive) meet semi-lattices instead of posets,
- canonical frames based on theories rather than prime theories,
- Disjunction is interpreted modally using the meet. This allows to control its distributivity properties.

cf.

V. Punčochář. *Algebras of Information States*. Journal of Logic and Computation, Volume 27, Issue 5, 2017.

V. Punčochář. *Knowledge is a diamond*. WOLLIC 2017.

Remark: Implicit also in semantics for non-distributive substructural logics based on polarity frames.

Semi-lattice frames

A frame $F = (X, \leq, \wedge, \tau, C, S)$, where

- $(X, \leq, \wedge, \top)$ is a meet semi-lattice of **information states**, where formulas are to be interpreted as **filters**,
- the frame may but need not satisfy

$$x \wedge y \leq z \longrightarrow \exists x', y' (x \leq x' \ \& \ y \leq y' \ \& \ x' \wedge y' = z)$$

[distributivity]

- $\top$ a top element: consequently, $\alpha \vdash \alpha \vee \beta$.

Semi-lattice frames

A frame $F = (X, \leq, \wedge, \tau, C, S)$, where

- C is a **symmetric** binary *compatibility* relation on X , with $\neg t C x$ and:

$$x' \leq x \text{ } C \text{ } y \geq y' \longrightarrow x' C y' \quad [\textit{monotonicity}]$$

$$x \wedge y C z \longrightarrow x C z \text{ or } y C z \quad [\textit{regularity}]$$

(consequently, negation creates persistent formulas, and $\neg\alpha \wedge \neg\beta \vdash \neg(\alpha \vee \beta)$).

Semi-lattice frames

A *frame* $F = (X, \leq, \wedge, \tau, C, S)$, where

- S is a binary *source* relation on X :

$$x S y \leq y' \longrightarrow x S y'$$

[*monotonicity*]

$$x S z \ \& \ x' S u \longrightarrow x \wedge x' S z \wedge u$$

[*regularity*]

(consequently, $\langle b \rangle$ creates persistent and regular formulas).

Interpreting the language

We call a proposition $a \subseteq X$ **persistent** iff a is closed upwards and **regular** iff a is $\wedge$ closed. Persistent **and** regular propositions correspond to **filters** on X .
Language

$$\alpha ::= p \mid \top \mid \perp \mid \alpha \vee \alpha \mid \alpha \wedge \alpha \mid \neg \alpha \mid \langle b \rangle \alpha$$

A valuation is a map $V : \text{Prop} \longrightarrow \mathcal{F}X$

- $x \Vdash p$ iff $x \in V(p)$
- $x \Vdash \top$ and $x \Vdash \perp \iff x = \tau$
- $x \Vdash \alpha \wedge \beta$ iff $x \Vdash \alpha$ and $x \Vdash \beta$
- $x \Vdash \alpha \vee \beta$ iff $\exists y, z \ (y \wedge z \leq x \ \& \ y \Vdash \alpha \ \& \ z \Vdash \beta)$
- $x \Vdash \neg \alpha$ iff $\forall y \ (xCy \longrightarrow y \nVdash \alpha)$
- $x \Vdash \langle b \rangle \alpha$ iff $\exists s \ (sSx \ \& \ s \Vdash \alpha)$

If all the relations satisfy the **monotonicity** conditions, all formulas are persistent. If all the relations moreover satisfy the **regularity** conditions, all formulas denote **filters**.

Axioms, and corresponding classes of frames

- $\alpha \vdash \beta$ is valid in a frame X , iff $\forall x \in X (x \Vdash \alpha \text{ implies } x \Vdash \beta)$.
- $\Gamma \vdash \alpha$ iff for some finite $\Gamma' \subseteq \Gamma$, $\bigwedge \Gamma' \vdash \alpha$ is provable in the following system L :

$\perp \vdash \alpha$	$\alpha \vdash \top$	$\alpha \vdash \alpha$
$\alpha \vdash \alpha \vee \beta$	$\alpha \vdash \alpha \vee \beta$	$\alpha \vdash \chi, \beta \vdash \chi / \alpha \vee \beta \vdash \chi$
$\alpha \wedge \beta \vdash \alpha$	$\alpha \wedge \beta \vdash \beta$	$\chi \vdash \alpha, \chi \vdash \beta / \chi \vdash \alpha \wedge \beta$
$\alpha \vdash \neg \beta / \beta \vdash \neg \alpha$		$\alpha \vdash \beta, \beta \vdash \chi / \alpha \vdash \chi$

Axioms, and corresponding classes of frames

plus additional axioms:

Axiom	condition
$\langle b \rangle \alpha \vdash \alpha$	$sSx \rightarrow s \leq x$
$\langle b \rangle \alpha \wedge \neg \alpha \vdash \perp$	$sSx \rightarrow sCx$
$\langle b \rangle \alpha \wedge \langle b \rangle \neg \alpha \vdash \perp$	$sSx \ \& \ s'Sx \rightarrow sCs'$
$\langle b \rangle \alpha \vdash \langle b \rangle \langle b \rangle \alpha$	$sSx \rightarrow \exists s' (sSs' Sx)$
$\langle b \rangle (\alpha \vee \beta) \vdash \langle b \rangle \alpha \vee \langle b \rangle \beta$	$x \wedge ySz \rightarrow \exists u, v (xSu, ySv \ \& \ u \wedge v \leq z)$
$\langle b \rangle \alpha \wedge \langle b \rangle \beta \vdash \langle b \rangle (\alpha \wedge \beta)$	$sSx \wedge tSx \rightarrow \exists v (vSx \ \& \ s, t \leq v)$
$\neg \alpha \wedge \neg \beta \vdash \neg (\alpha \vee \beta)$	$x \wedge yCz \rightarrow xCz \text{ or } yCz$
$\neg \neg \alpha \vdash \alpha$	$x = \tau \vee (\exists \max.y) xCy$
$\alpha \wedge (\beta \vee \chi) \vdash (\alpha \wedge \beta) \vee (\alpha \wedge \chi)$	$x \wedge y \leq z \rightarrow \exists u \geq x, v \geq y (u \wedge v = z)$
$\neg \top \vdash \perp$	$x = \tau \vee (\exists y) xCy$
$\alpha \wedge \neg \alpha \vdash \perp$	$x = \tau \vee xCx$

We shall come back to this to address the consistency of beliefs.

Completeness via canonical model

Theorem (Strong Completeness)

The axiomatization $(L + Ax)$ is strongly complete with respect to the class of corresponding epistemic frames.

$$\Gamma \not\models \alpha \text{ implies } \Gamma \not\models_{\mathcal{F}(Ax)} \alpha$$

Proof — the canonical model construction. Canonical states = theories with the intersection, ordered by inclusion, canonical relations defined as usual. All axioms listed above are canonical.

Variability of the semi-lattice semantics

- We may relax persistence/regularity
- It is possible to add

$$x \Vdash \alpha \sqcup \beta \text{ iff } x \Vdash \alpha \text{ or } x \Vdash \beta$$

and obtain an **inquisitive** logic (not every formula is regular, requires a multitype proof theory).

- It is possible to extend the propositional base to a substructural one, e.g. FLe., and to vary the properties of the negation.

Consistency of beliefs

For sets $\Gamma_x = \{\alpha \mid x \Vdash \langle b \rangle \alpha\}$ we may want the following:

- $\Gamma_x \not\vdash \perp$
- $\Gamma_x \not\vdash \neg\alpha$ for $\alpha \in \Gamma_x$ (and $\Gamma_x \not\vdash \alpha$ for $\neg\alpha \in \Gamma_x$)
- $\Gamma_x \not\vdash \alpha \wedge \neg\alpha$ for all α

Example: The factive and strongly consistent notion of **knowledge** we considered previously avoids the first two, but **not** the third one (unless negation is fully de Morgan).

But consistency axioms of **belief** which need not be factive are too weak to ensure **any** of those.

Consistency of beliefs

For sets $\Gamma_x = \{\alpha \mid x \Vdash \langle b \rangle \alpha\}$ we may moreover want the following:

- $\Gamma_x \not\vdash \bigvee \overline{\alpha_i}$ for any $\alpha_i \in \Gamma_x$
- $\Gamma_x \not\vdash \bigvee (\alpha_i \wedge \neg \alpha_i)$ for any α_i

Example: The factive and strongly consistent notion of **knowledge** now need not avoid those two.

Consistency of beliefs

- $\Gamma_x \not\vdash \perp$
- $\Gamma_x \not\vdash \neg\alpha$ for $\alpha \in \Gamma_x$ (and $\Gamma_x \not\vdash \alpha$ for $\neg\alpha \in \Gamma_x$)
- $\Gamma_x \not\vdash \alpha \wedge \neg\alpha$ for all α

are respectively characterized by conditions:

- $s_1 \dots s_n S^b x \longrightarrow \exists t (s_1 \dots s_n \leq t \ \& \ t \neq \tau)$
- $s_1 \dots s_n S^b x \longrightarrow \exists t (s_1 \dots s_n \leq t \ \& \ s_1 \dots s_n Ct)$
- $s_1 \dots s_n S^b x \longrightarrow \exists t (s_1 \dots s_n \leq t \ \& \ tCt)$

and completely axiomatized by **rules**, e.g.

$$\frac{\alpha_1, \dots, \alpha_n \vdash \perp}{\langle b \rangle \alpha_1, \dots, \langle b \rangle \alpha_n \vdash \perp}$$

Consistency of beliefs

- $\Gamma_x \not\vdash \bigvee \overline{\alpha_i}$ for any $\alpha_i \in \Gamma_x$
- $\Gamma_x \not\vdash \bigvee (\alpha_i \wedge \neg \alpha_i)$ for any α_i

are respectively characterized by conditions:

- $s_1 \dots s_n S^b x \longrightarrow \exists t \in \text{MIR}(X) (s_1 \dots s_n \leq t \ \& \ s_1 \dots s_n Ct)$
- $s_1 \dots s_n S^b x \longrightarrow \exists t \in \text{MIR}(X) (s_1 \dots s_n \leq t \ \& \ tCt)$

Neighborhood frames

We replace the source relation by a neighborhood function:

$$S : X \longrightarrow \mathbb{L}UX,$$

and interpret belief as:

$$x \Vdash \langle b \rangle \alpha \text{ iff } \exists Y \in S(x) \forall y \in Y \ y \Vdash \alpha.$$

plus possibly additional conditions, like:

- $x \in S(x)$ characterizes factivity $\langle b \rangle \alpha \vdash \alpha$
- consistency conditions look like e.g.:

$$Y_1 \dots Y_n \in S(x) \longrightarrow \exists t (t \in \bigcap Y_i \ \& \ \forall i \ \exists y_i (y_i \in Y_i \ \& \ y_i Ct)).$$

$$Y_1 \dots Y_n \in S(x) \longrightarrow \exists t (t \in \bigcap Y_i \ \& \ tCt).$$

Box or Diamond?

- Starting with a notion of **possible worlds**, we can define **information states** as subsets of possible worlds.
- Extending ideas of Vít Punčochář, we can relate logics of **possible worlds** and corresponding logics of **information states** (truth vs. assertibility).
- We can start with **monotone modal logic** and its neighborhood semantics, and the result will fall under our current framework:

Box or Diamond? Example of a semilattice frame

- Consider a **monotone neighborhood** model $(W, B : PW \longrightarrow PW)$, where $||\Box\alpha|| = B||\alpha||$
- define a frame $(PW, \supseteq, \emptyset)$ with a relation:

$$xSy \equiv_{df} B(x) \supseteq y$$

$$xCy \equiv_{df} x \not\subseteq \bar{y}$$

- put $x \Vdash p \Leftrightarrow x \subseteq ||p||$
- Then $\alpha \in (\wedge, \vee, \neg, \Box)$ translates to $\alpha^* \in (\wedge, \vee, \neg, \langle b \rangle)$:

$$||\alpha|| \subseteq ||\beta|| \text{ iff } \alpha^* \vdash \beta^*.$$

Groups and Common belief

Common belief for a group $G \subseteq I = \{1 \dots n\}$ can be defined via iterating "everybody believes that...". To list a few possibilities:

- $\bigwedge_{i \in G} \langle i \rangle \alpha$
- $\langle G \rangle \alpha$ interpreted via a relation S_G with $G \subseteq H \longrightarrow S_H \subseteq S_G$
 $\langle H \rangle \alpha \vdash \langle G \rangle \alpha$
- $\langle G \rangle \alpha$ interpreted via S_G with $S_{G \cup H} = S_G \cap S_H$
- $\langle G \rangle \alpha$ interpreted via S_G with $S_{G \cup H} = S_G \wedge S_H$
 $\langle H \rangle \alpha \wedge \langle G \rangle \beta \vdash \langle H \cup G \rangle \alpha \vee \beta$

Infinitary proof theory for iterative Common belief

- denote $\bigwedge_{i \in G} \langle i \rangle \alpha$, or $\langle G \rangle \alpha$ by $\Diamond \alpha$. Finite approximations of $C\alpha$:

$$C^1\alpha = \Diamond\alpha, \quad C^2\alpha = \Diamond(\alpha \wedge \Diamond\alpha), \quad C^3\alpha = \Diamond(\alpha \wedge \Diamond(\alpha \wedge \Diamond\alpha)), \dots$$

- adopt axioms

$$C\alpha \vdash C^n\alpha \qquad C^{n+1}\alpha \vdash C^n\alpha$$

and an infinitary rule

$$\{C^n\alpha \mid n \in N\} \vdash C\alpha$$

Strong completeness for C : consequence relation

- The resulting consequence relation $\Gamma \vdash \delta$ satisfies identity and monotonicity (weakening),
- and is closed under Infinitary Cut:

$$\frac{\Gamma, \{\beta_i \mid i \in I\} \vdash \delta \quad \{\Gamma \vdash \beta_i \mid i \in I\}}{\Gamma \vdash \delta}$$

- but for any **box-type operator** (meet-preserving) $\circ$ we have to ensure:

$$\frac{\Gamma \vdash \varphi}{\circ \Gamma \vdash \circ \varphi}$$

- in our case, there is none. It could be e.g. the implication in which case we close the infinitary rule under **pre-fixing**. (Used later in **valuation lemma**).

Strong completeness for C

Theorem (Strong Completeness)

The axiomatization is strongly complete with respect to the class of corresponding epistemic frames.

$$\Gamma \not\vdash \delta \text{ implies } \exists (F, V), x (x \Vdash \Gamma \ \& \ x \not\vdash \delta)$$

Proof — the canonical model construction. Canonical states = theories with the intersection, ordered by inclusion, canonical relations defined as usual.

In the **distributive** setting we can consider an alternative canonical model using poset of **prime theories**, i.e. **meet irreducible** elements in the current model. S would be a **neighborhood** relation.

Strong completeness for C : Pair extension lemma

In the **distributive** setting we can build an alternative canonical model using **prime theories**:

- $\langle \Gamma; \Delta \rangle$ is a **pair** iff $\Gamma \not\vdash \Delta$ (Γ proves no finite disjunction of Δ)
- in the finitary case, each pair can be extended to a **full pair** ($\Gamma \cup \Delta = \mathcal{L}$), where Γ is a **prime theory**,
- in our infinitary case it can certainly be done for **finite** Δ .
- To ensure that (a countable) union of a chain of pairs is again a pair, one has to modify the construction! In case $\alpha_i = C\varphi$ and $\Gamma_i, C\varphi \vdash \Delta_i$,

$$\langle \Gamma_{i+1}; \Delta_{i+1} \rangle = \langle \Gamma_i; C\varphi, C^n\varphi, \Delta_i \rangle,$$

(e.g. the least n for which this is a pair.)

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THANK YOU!